



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

MAYER, BROWN, ROWE & MAW, LLP  
190 SOUTH LASALLE STREET  
CHICAGO, IL 60603-3441

**COPY MAILED**

**JAN 27 2005**

**OFFICE OF PETITIONS**

In re Application of	:
Giroux et al.	:
Application No. 10/823,435	: DECISION ON PETITION
Filed: April 12, 2004	: UNDER 37 CFR 1.78(a)(3)
Attorney Docket No. 04271500	:

This is a decision on the petition under 37 CFR 1.78(a)(3), filed November 18, 2004, to accept an unintentionally delayed claim under 35 U.S.C. §120 for the benefit of prior-filed nonprovisional Application No. 10/716,577, filed November 18, 2003.

The petition is **DISMISSED**.

A petition for acceptance of a claim for late priority under 37 CFR 1.78(a)(3) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR 1.78(a)(2)(ii). In addition, the petition under 37 CFR 1.78(a)(3) must be accompanied by:

- (1) the reference required by 35 U.S.C. § 120 and 37 CFR 1.78(a)(2)(i) of the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in § 1.17(t); and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2)(ii) and the date the claim was filed was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional.

37 CFR 1.78(a)(2)(i) requires that any nonprovisional application claiming the benefit of one or more prior-filed copending nonprovisional applications must contain or be amended to contain a reference to each such prior-filed application, identifying it by application number (consisting of the series code and serial number) and indicating the relationship of the applications. The relationship between the applications is whether the subject application is a continuation, divisional, or continuation-in-part of a prior-filed nonprovisional application. An example of a proper benefit claim is: "This application is a continuation of Application No. 10/---, filed---." A benefit claim that merely states: "This application claims the benefit of Application No. 10/---, filed---," does not comply with 37 CFR 1.72(a)(2)(i) since the proper relationship, which includes the type of continuing application, is not stated. Also, the status of each nonprovisional parent application (if it is patented or abandoned) should also be indicated, following the filing date of the parent nonprovisional application. See Manual of Patent Examining Procedure, 8th ed., August 2001, Section 201.11, Reference to First Application. The petition filed November 18, 2004 fails to state the relationship of Application No. 10/716,577, filed November 18, 2003, to the instant application.

It is also unclear whether or not the petitioner wishes to claim benefit of PCT Application No. PCT/US03/36925. Although the box was left unchecked on the instant petition, a declaration filed on

November 29, 2004, includes a reference to the above-noted PCT application, which was also lacking a relationship statement to the instant application.

Further, the amendment submitted concurrently with the instant petition as drafted is unacceptable and, therefore, is not considered a proper reference under 37 CFR 1.78(a)(2)(i). In this regard, the amendment is physically part of the instant petition and, as such, does not comply with 37 CFR 1.121, 1.52, or 1.4(c). Note that 37 CFR 1.121 states that amendments are made by filing a paper, in compliance with § 1.52, directing that specified amendments be made. The pertinent section of 37 CFR 1.52 states that the claim, (in this case, the claim for priority), must commence on a separate physical sheet. 37 CFR 1.4(c) states that each distinct submit must be contained in a separate paper since different matters may be considered by different branches of the United States Patent and Trademark Office.

In view of the above, the amendment to add a priority claim to the first line of the specification, which is physically embedded in the instant petition, is not acceptable.

Accordingly, before the petition under 37 CFR § 1.78(a)(3) can be granted, a renewed petition under 37 CFR § 1.78(a)(3) and a substitute amendment<sup>1</sup> in compliance with the aforementioned rules and stating the relationship of the prior-filed application(s) to the instant application is required.

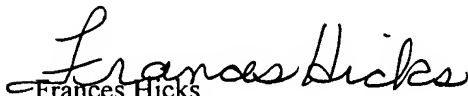
Further correspondence with respect to this matter should be addressed as follows:

By mail: Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

By hand: Customer Window located at:  
  
U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street S  
Customer Window, Mail Stop Petitions  
Crystal Plaza Two Lobby, Room 1B03  
Arlington, VA 22202

By fax: (703) 872-9306  
ATTN: Office of Petitions

Any questions concerning this matter may be directed Paralegal Liana Chase at (571) 272-3206.

  
Frances Hicks  
Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

---

<sup>1</sup> Note 37 CFR 1.121